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2684

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PTO/SB/21 (08-03)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                 |
|------------------------|-----------------|
| Application Number     | 09/825,198      |
| Filing Date            | 4/3/01          |
| First Named Inventor   | Kanamaluru      |
| Group Art Unit         | 2684            |
| Examiner Name          | Raymond S. Dean |
| Attorney Docket Number | SAR 13980       |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

|   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment / Response – 12 pages<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Formal Drawing(s) – 3 sheets<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ): |
| Remarks   |   | It is believed no fee is due. However, in the event a fee is due, kindly charge that fee to Deposit Account No. 20-0782. To facilitate that charge, a duplicate copy of this letter is enclosed.   |

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Robert M. Brush, Reg. No. 45,710<br>Moser, Patterson & Sheridan, LLP |
| Signature               |  |
| Date                    | February 17, 2004  |

## CERTIFICATE OF TRANSMISSION/MAILING

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|-----------------------|--------------|------|---------|
| Typed or printed name | Carol Wilson |      |         |
| Signature             |              | Date | 2/17/04 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the



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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Applicant: **Kanamaluru et al.** Case: **SAR 13980**  
Serial No.: **09/825,198** Filed: **April 3, 2001**  
Examiner: **Raymond S. Dean** Group Art Unit: **2684**  
Title: **METHOD AND APPARATUS FOR DELIVERING PERSONALIZED AND  
LOCATION SENSITIVE INFORMATION TO A USER DEVICE**

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**RESPONSE UNDER 37 C.F.R. §1.111**

S I R:

In response to the Office Action dated November 20, 2003 (Paper No. 3), please  
amend the above-identified patent application as follows: